

**DOCKET NO: A0312.70409US00** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Paul F. Ferguson, Jr. et al.

Serial No:

09/575,560

Confirmation No:

1265

Filed:

May 21, 2000

For:

METHOD AND APPARATUS FOR USE IN SWITCHED

CAPACITOR SYSTEMS

Examiner:

Trong Q. Phan

Art Unit:

2818

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 3rd day of March, 2004.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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Serial No.: 09/575,560 -2- Art Unit: 2818

Conf. No.: 1265

### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Serial No.: 09/575,560 - 3 - Art Unit: 2818

Conf. No.: 1265

An early and favorable action is hereby requested.

Respectfully submitted,

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Docket No.: A0312.70409US00

Date: March 3, 2004

**XNDDX** 

FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

Sheet 1 of 1

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APPLICATION NO.: 09/575,560

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ATTY. DOCKET NO.: A0312.70409US00

CONFIRMATION NO.: 1265

APPLICANT: Paul F. Ferguson, Jr. et al.

GROUP ART UNIT: 2818

EXAMINER: Trong Q. Phan

#### U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
Initials	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	A17	4,894,620		Nagaraj	01-16-1990	
	A18	5,036,322		Barrow et al.	07-30-1991	
	A19	5,162,801	Α	Powell et al.	11-10-1992	
· · · · · · · · · · · · · · · · · · ·	A20	5,809,432	Α	Yamashita	09-15-1998	
	A21	6,124,813	Α	Robertson et al.	09-26-2000	
	A22	6,154,162	Α	Watson et al.	11-28-2000	
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#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	(mot nooccomu)	Cited Document MM-DD-YYYY	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \

### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.